# BLIND FILTER IDENTIFICATION AND IMAGE SUPERRESOLUTION USING SUBSPACE METHODS

Muriel Gastaud, Saïd Ladjal, and Henri Maître

GET ENST (Télécom Paris) dept TSI 46, rue Barrault, 75634, Paris Cedex 13, France surname.name@enst.fr http://www.tsi.enst.fr supported by EADS Foundation

#### ABSTRACT

Subspace methods are a powerful tool to recover unknown filters by looking at the second order statistics of various signals originating from the same source (also called a SIMO problem). An extension to the multiple source case is also possible and has been investigated in the literature. In this paper we show how the blind superresolution problem can be solved by this tool. We first present the problem of superresolution as a multiple input multiple output (MIMO) one. We show that the subspace method can not be used, as is, to recover the filters affecting each image, and we present two possible solutions, based on the statistical characteristics of the images to solve this problem. Experiments are shown which validate these ideas.

# 1. INTRODUCTION

The subspace method has been introduced by [7] and further investigate in a multitude of papers [1, 5, 6, 8]. The idea of the method is to observe multiple outputs of various unknown filters having all the same input. In this case, the second order statistics of the received signals carry enough information to allow the recovery of the filters and furthermore the recovery of the original signal. The main application that authors had in mind was to conceive wireless protocols in a varying environment, in which no training sequence have to be transmitted. Indeed, in such an environment, the filters that affect the signal can change and have to be re-learned very often. Being able to learn them without the use of a training signal would be a great asset and could save an important amount of bandwidth.

Extensions to the case where a multitude of signals are transmitted through the same channel have been investigated (see [1] or [5]). In this late approach, a crucial step is the use of a source separation technique. We investigate the possibility of using the subspace method in the context of image superresolution. More precisely, we observe a certain number of images of the same scene acquired through various filters and subsampled (the subsampling accounts for the aliasing that occurs in every image acquisition process). We would like to recover the original image and will do so in two steps. The first step is to recover the filters using the subspace technique. The second step is to apply a regularized inversion to the observed images in order to recover the original scene.

The paper is divided as follows: Section 2 presents the subspace method in order to provide the reader with a self contained overview. Section 3 states the problem of superresolution as a MIMO one, in which the multiple inputs are the various subsampled versions of the image (they differ by a translation). This presentation allows us to understand that:

- The separation of sources is impossible in the case of superresolution because the sources are very correlated with each other and have exactly the same statistics.
- The subspace method provides us with a mixture of the actual filters. Therefore, we have to implement a method to unmix and

recover the actual filters. In the same time, the subspace method has allowed us to restrain the search for the filters to a relatively small affine space.

In section 4 we introduce our method to disambiguate the results of the subspace method and recover the actual filters. Section 5 presents experimental results for both filters recovery and image restoration based on this recovery.

#### 2. THE SUBSPACE METHOD

In this section, we present the subspace method such as developed by [6] for 1-D signals. This method, first introduced by [7], considers multi output systems. This allows the use of second order statistics of the outputs, instead of higher order statistics, to identify blindly the filters. This method, under some mild assumptions, estimates the noise and signal subspaces from the eigenvalue decomposition of the autocorrelation matrix of the outputs, and exploits the orthogonality between this subspaces to identify the filter coefficients.

The *L* observed images are modeled as noisy outputs of a FIR system  $\mathcal{H}$  driven by an input image *D*:

$$X = \mathscr{H}D + B \tag{1}$$

where :

• *X* stacks the *L* observed images  $X^l$ , l = 1 : L, more precisely, a vectorized formulation of a processing windowed area, of size  $(N_y, N_x)$ , extracted from the observed images :

$$X^{l} = [x^{l}(N_{y} - 1, N_{x} - 1) x^{l}(N_{y} - 2, N_{x} - 1) \cdots x^{l}(0, 0)]^{T}$$
 (2)

• *D* is a vectorized formulation of the related windowed area of the original image :

$$D = [d(N_y + M_y - 2, N_x + M_x - 2) \cdots d(0, 0)]^T$$
(3)

• *H* stacks the *L* block-Toeplitz filtering matrices *H*<sup>l</sup> associated with each filters *H*<sup>l</sup>

$$H^{l} = \begin{pmatrix} h^{l}(0,0) & \dots & h^{l}(0,M_{x}-1) \\ \vdots & & \vdots \\ h^{l}(M_{y}-1,0) & \dots & h^{l}(M_{y}-1,M_{x}-1) \end{pmatrix}$$
(4)

i.e. 
$$\mathscr{H}^{l} = \begin{pmatrix} \mathscr{H}_{0}^{l} & \cdots & \mathscr{H}_{M_{x}-1}^{l} & 0 \\ \ddots & & \ddots & \\ 0 & \mathscr{H}_{0}^{l} & \cdots & \mathscr{H}_{M_{x}-1}^{l} \end{pmatrix}$$
 (5)

where  $\mathscr{H}_{i}^{l}$  is a Toeplitz matrix of size  $(N_{y}, N_{y} + M_{y} - 1)$  associated to the  $j^{th}$  column of  $H^l$ :

$$\mathscr{H}_{j}^{l} = \begin{pmatrix} h^{l}(0,j) & \dots & h^{l}(M_{y}-1,j) & 0 \\ \ddots & & \ddots & \\ 0 & h^{l}(0,j) & \dots & h^{l}(M_{y}-1,j) \end{pmatrix}$$
(6)

 $\mathscr{H}^l$  contains  $N_x$  rows of blocks and  $N_x + M_x - 1$  columns of blocks of size  $(N_y, N_y + M_y - 1)$ .

• and B is a white zero-mean noise, uncorrelated with D.

Let  $\mathbb{R}_X$  denotes the autocorrelation matrix of the outputs *X*:

$$\mathbb{R}_X = E(XX^T) \tag{7}$$

where E denotes the expectation operator.  $\mathbb{R}_X$  is of size  $(LN_xN_y, LN_xN_y)$ . From equation (1) we deduce that:

$$\mathbb{R}_X = \mathscr{H} \mathbb{R}_D \mathscr{H}^T + \mathbb{R}_B \tag{8}$$

where  $\mathbb{R}_D$  and  $\mathbb{R}_B$  denote respectively the autocorrelation matrices of the input *D* and the noise *B*. We recall that the noise is assumed to be uncorrelated with the input.

From now on, we make two assumptions:

- 1.  $\mathscr{H}$  is full column rank, a necessary condition is  $LN_{v}N_{x} > (N_{x} + M_{x} - 1)(N_{y} + M_{y} - 1),$
- 2. and  $\mathbb{R}_D$  is full rank.

We deduce from eq. (8) and thanks to these assumptions, that the signal part of the autocorrelation matrix  $\mathbb{R}_X$ , i.e.  $\mathscr{H}\mathbb{R}_D\mathscr{H}^T$ , has rank  $d_H = (N_x + M_x - 1)(N_y + M_y - 1).$ 

Through an eigenvalue decomposition of  $\mathbb{R}_X$ , we obtain a subspace decomposition between the signal and noise subspaces. The eigenvectors associated with the  $d_H$  largest eigenvalues of  $\mathbb{R}_X$  span the signal subspace, whereas the eigenvectors associated with the  $LN_xN_y - d_H$  smallest eigenvalues span its orthogonal complement, the noise subspace. The signal subspace is also the subspace spanned by the columns of the filtering matrix  $\mathcal{H}$ .

By orthogonality between signal and noise subspaces, we deduce that each vector of the noise subspace is orthogonal to each column of the filtering matrix. Let  $G_i$  denotes an eigenvector associated with one of the  $LN_xN_y - d_H$  smallest eigenvalues of the matrix  $\mathbb{R}_X$ . The orthogonality condition can be formulated, for  $i = 0 : LN_xN_y$   $d_H - 1$ , as:

$$G_i^T \mathscr{H} = \mathbf{0}_{(1,d_H)}$$

$$(1, LN_y N_x) (LN_y N_x, d_H)$$
(9)

Since we have only an estimate of the autocorrelation matrix, the orthogonality condition is solved using a least square method. This leads to the minimization of the quadratic form:

$$q(\mathscr{H}) = \sum_{i=0}^{LN_x N_y - d_H - 1} |G_i^T \mathscr{H}|^2$$
(10)

Thanks to the following structural lemma, we provide an expression of the quadratic form in terms of the filter coefficients instead of the filtering matrix :

Lemma 1: 
$$G_i^T \mathscr{H} = H^T \mathscr{G}_i$$
 (11)

You can find a proof of this lemma in [6].

In this expression, the matrix  $\mathscr{G}_i$ , for  $i = 0 : LN_xN_y - d_H - 1$ , denotes a matrix of size  $(LM_vM_x, d_H)$ . This matrix is constructed as follows:

- Each eigenvector  $G_i$ , i = 0:  $LN_xN_y d_H 1$  is partitioned into L vectors  $G_i^l$  of size  $(N_v N_x, 1)$ .
- Each part  $G_i^l$  can be considered as a vectorized formulation of the matrix:

$$\begin{pmatrix} g_i^l(0,0) & \dots & g_i^l(0,N_x-1) \\ \vdots & & \vdots \\ g_i^l(N_y-1,0) & \dots & g_i^l(N_y-1,N_x-1) \end{pmatrix}$$
(12)

- Let us define the block-Toeplitz matrix  $\mathscr{G}_i^l$  as the "filtering" matrix associated to  $G_i^l$ . The term "filtering" points out that we obtain  $\mathscr{G}_{i}^{l}$  from  $G_{i}^{l}$  in the same way we obtain  $\mathscr{H}^{l}$  from  $H^{l}$  (eq. (5) and (6)).
- Finally,  $\mathscr{G}_i$  stacks the  $L \mathscr{G}_i^l$  matrices.

The quadratic form is now expressed in terms of the filter coefficients:

$$q(H) = H^T \mathbb{Q}H$$
 where  $\mathbb{Q} = \sum_{i=0}^{LN_x N_y - d_H - 1} \mathscr{G}_i \mathscr{G}_i^T$  (13)

The filter coefficients are identified, up to a constant, by the minimal eigenvector of  $\mathbb{O}$ .

#### 3. SUBSAMPLING

#### 3.1 Problem Statement

We now extend the subspace-based method to the case of subsampled observed images. The purpose is to estimate, from the low-resolution observed images, a deconvolved image at a higher resolution: this problem is called super-resolution. To this end, we assume that the original image is filtered by L high-resolution filters, and the L output images are then subsampled by a factor P. The estimation is blind, i.e. we do not know the filters. In this section, we focus on the filter identification, the image restoration step will be developed in section 5.2.

After the convolution step, each observed image  $X^{l}$ , l = 1 : L, is modeled as a noisy output of a FIR system  $\mathscr{H}^l$  driven by an input image D (see section 2):

$$X^{l} = \mathscr{H}^{l}D + B^{l} \tag{14}$$

Then, the outputs are subsampled by a factor *P*:

$$X_{LR}^l = \mathscr{H}_{LR}^l D + B_{LR}^l \tag{15}$$

- $X_{LR}^l$  is a subsampled component of  $X^l$ , of size  $(n_x n_y, 1)$ , where  $n_x = \frac{N_x}{P}$  and  $n_y = \frac{N_y}{P}$ , D is the same as in equation (14), apart from the last P 1 rows
- and columns which are truncated,
- $\mathscr{H}_{LR}^{l}$  is defined by extracting one row every P from the matrix  $\mathscr{H}^{l}$  and is of size  $(n_{x}n_{y}, d_{h})$ , where  $d_{h} = P^{2}(n_{x} + m_{x} 1)(n_{y} + m_{y} 1)$ , where  $m_{x} = \frac{M_{x}}{P}$  and  $m_y = \frac{M_y}{P}$ , as we discard all the null columns.

By switching on purpose the columns of  $\mathscr{H}_{LR}^l$  (and at the same time the rows of D) in equation (15), the subsampled output images can be related to the subsampled components of the original image:

$$X_{LR}^{l} = \begin{pmatrix} \mathscr{H}_{0,0}^{l} & \mathscr{H}_{0,1}^{l} & \dots & \mathscr{H}_{P-1,P-1}^{l} \end{pmatrix} \begin{pmatrix} D_{0,0} \\ D_{0,1} \\ \vdots \\ D_{P-1,P-1} \end{pmatrix} + B_{LR}^{l}$$
(16)

• where  $D_{p1,p2}$  is a vectorized subsampled component of the input image *D*, i.e., if

$$D = \begin{pmatrix} d_{0,0} & \dots & d_{0,S_x-1} \\ \vdots & & \vdots \\ d_{S_y-1,0} & \dots & d_{S_y-1,S_x-1} \end{pmatrix}$$
(17)

where  $S_y = N_y + M_y - 1$  and  $S_x = N_x + M_x - 1$ , thus, for all p1, p2 = 0 : P - 1,

$$D_{p1,p2} = \begin{pmatrix} d_{p1,p2} & \dots & d_{p1,p2+(s_x-1)P} \\ d_{p1+P,p2} & \dots & d_{p1+P,p2+(s_x-1)P} \\ \vdots & & \vdots \\ d_{p1+(s_y-1)P,p2} & \dots & d_{p1+(s_y-1)P,p2+(s_x-1)P} \end{pmatrix}$$
(18)

where  $s_y = n_y + m_y - 1$  and  $s_x = n_x + m_x - 1$ ,

• and  $\mathscr{H}_{p1,p2}^{l}$  is the block-Toeplitz matrix of size  $(n_y n_x, s_y s_x)$  associated to the filter

$$H_{p1,p2}^{l} = \begin{pmatrix} h_{p1,p2}^{l} & \dots & h_{p1,p2+(m_{x}-1)P}^{l} \\ h_{p1+P,p2}^{l} & \dots & h_{p1+P,p2+(m_{x}-1)P}^{l} \\ \vdots & & \vdots \\ h_{p1+(m_{y}-1)P,p2}^{l} & \dots & h_{p1+(m_{y}-1)P,p2+(m_{x}-1)P}^{l} \end{pmatrix}$$
(19)

one of the  $P^2$  polyphase components of the high resolution filter  $H^l$  (see eq. (4)).

By stacking all vectors and matrices coming from equation (16) for all l = 1 : L, we obtain the following model:

$$\begin{pmatrix} X_{LR}^1\\ \vdots\\ X_{LR}^L \end{pmatrix} = \begin{pmatrix} \mathscr{H}_{0,0}^1 & \dots & \mathscr{H}_{P-1,P-1}^1\\ \vdots & & \vdots\\ \mathscr{H}_{0,0}^L & \dots & \mathscr{H}_{P-1,P-1}^L \end{pmatrix} \begin{pmatrix} D_{0,0}\\ \vdots\\ D_{P-1,P-1} \end{pmatrix} + B_{LR} \quad (20)$$

The superresolution problem is now expressed like a multiple input multiple output problem. In multiple input systems, the inputs usually come from different sources, and are considered as independent from each other [5]. In our case, the inputs are the different subsampled components of the same source image and are therefore strongly correlated.

### 3.2 Limits of the Subspace Method

In this section, we show that, for subsampled images, the subspace method is not sufficient to determine the filters, but provide an identification up to a  $(P^2, P^2)$  mixing matrix.

Let us call  $\mathbb{R}_X^{LR}$  the autocorrelation matrix of the *L* subsampled images  $X_{LR}^l$ . If we apply the subspace method, we find that the eigenvectors, denoted  $G_i$ , associated to the  $Ln_yn_x - P^2(n_y + m_y - 1)(n_x + m_x - 1)$  smaller eigenvalues of  $\mathbb{R}_X^{LR}$  span the noise subspace. The orthogonality condition between noise and signal subspaces is expressed by:

$$\begin{array}{lll} G_i^T & \mathscr{H}_{p1,p2} &= & \mathbf{0}_{(1,s_ys_x)} \\ (1,Ln_yn_x) & (Ln_yn_x,s_ys_x) & & (1,s_ys_x) \end{array}$$
(21)

where i = 0:  $Ln_yn_x - d_h - 1$ ,  $\mathbf{0}_{(1,s_ys_x)}$  is a null vector of size  $(1, s_ys_x)$ , and  $\mathscr{H}_{p1,p2}$  a block column of the filtering matrix in equation (20).

The structural lemma (see eq. (11)) provide an expression of the orthogonality condition in terms of the polyphase components of the filters instead of the columns of the filtering matrix:

$$\mathbb{H}_{p1,p2}^{T}\mathscr{G}_{i} = \mathbf{0}_{(1,s_{y}s_{x})} \quad \text{where} \quad \mathbb{H}_{p1,p2} = \begin{pmatrix} H_{p1,p2}^{1} \\ \vdots \\ H_{p1,p2}^{L} \end{pmatrix}$$
(22)

where  $\mathscr{G}_i$  is a  $(Lm_ym_x, s_ys_x)$  filtering matrix defined from the eigenvectors  $G_i$ , and p1, p2 = 0: P - 1.

By stacking the contributions of all the polyphase components of the filters, we obtain:

$$\mathbb{H}^{T}\mathscr{G}_{i} = \mathbf{0}_{(P^{2}, s_{y} s_{x})} \quad \text{where} \quad \mathbb{H} = \left(\mathbb{H}_{0, 0} \quad \dots \quad \mathbb{H}_{P-1, P-1}\right) \quad (23)$$

The minimization of the quadratic form associated to the orthogonality condition provide a set of  $P^2$  vectors, denoted  $\mathbb{V}$ . We can not distinguish these eigenvectors using only the orthogonality condition. Indeed, each column of  $\mathbb{V}$  is in the null space of the quadratic form, therefore  $\mathbb{H}$  is a combination of the  $P^2$  columns of  $\mathbb{V}$ . We can identify the filters  $\mathbb{H}$  only up to a reversible  $(P^2, P^2)$  mixing matrix denoted  $\mathbb{M}_X$ , such as:

$$\mathbb{H} = \mathbb{V}\mathbb{M}_X \tag{24}$$

. .

Source separation methods have been used to estimate such a matrix [1, 5], but these methods usually state the assumption that the input signals are uncorrelated. This is not our case, as the inputs are the different subsampled components of the same source image.

### 4. EVALUATION OF THE MIXING MATRIX

The determination of the matrix  $\mathbb{M}_X$  is, as we showed theoretically, impossible in the case where the mixed sources (here the polyphase components of an image) have the same distribution. Despite this fact, we try to estimate the mixing matrix by introducing some prior knowledge on the statistics of the image or the filters. Indeed, natural images have a spectrum which is far from constant (as in the case of a white noise or a compressed signal). On the other hand, filters that are encountered in image processing are often very smooth with a single local (and global) maximum at the origin, whereas a multi-reflection filter, that affects wireless communications, can be irregular and display a multitude of local maxima. The subspace method was designed to deal with such irregular filters, with the counterpart that the sources are of different statistical nature, allowing an efficient separation of sources.

In this section we will use a continuous notation, and the Fourier transform of a sampled signal at rate 1 will live in [-1/2, 1/2] whereas the Fourier transform of a subsampled version at rate P, will live in [-1/2P, 1/2P]. The  $\tilde{H}^l$  will refer to the estimated filters we are trying to define.

## 4.1 Imposing Regularity of the Filters

First, let us see what happens when some regularity is imposed to the filters. We do so by minimizing a certain regularity measure of the filters under the constraint that the integral of each filter is one<sup>1</sup>.

Two principal choices have been proposed for the measure of filters regularity. The first one (which presents the advantage of a low computational cost) is the integral of the squared norm of the gradient (the  $\mathbb{H}_1$  norm [10]). The other one is the integral of the gradient (the total variation norm [9]).

<sup>&</sup>lt;sup>1</sup>This is a physical requirement for imaging filters. It may not be true if different images have been acquired under different illumination conditions. In this case, the mean of each image gives a very accurate estimation of the integral of the filter that generated it.

The first choice may lead to smooth solutions and disadvantages the non continuous filters (such as motion blur). Nevertheless, we use this  $\mathbb{H}_1$  criterion, for two reasons:

- We search for the best solution in a small-dimensional affine space (namely the vector space in which M<sub>X</sub> lives intersected with the affine space represented by the constraint ∫ H<sup>l</sup>(x)dx = 1). In such a case, the smoothing effect of the H<sub>1</sub> norm compared to the *TV* norm could be ignored.
- The computational cost of such a minimization is much smaller than the *TV* one (see for example [2] for the numerical intricacy of TV minimization, although recent advances have been made [3] but are not, as is, applicable to our problem).

$$J_1(\tilde{H^1},...,\tilde{H^L}) = \sum_l \int \left\| \nabla \tilde{H^l} \right\|_2^2.$$
(25)

### 4.2 Imposing Similarity of the Double-Filtered Images

In the following we take advantage of the fact that we have multiple views of the same original scene to recover the filters (which implies the estimation of  $\mathbb{M}_X$ ).

Let's assume that we have two versions of the same image  $I_1$  and  $I_2$  formed after being filtered by  $H_1$  and  $H_2$ , and that we have two candidates  $\tilde{H}_1$  and  $\tilde{H}_2$ : we can check easily if these candidates are reasonable or not. Indeed filtering  $I_2$  using  $\tilde{H}_1$  should yield the same result as filtering  $I_1$  using  $\tilde{H}_2$ . Based on this simple observation, we define a functional which should be minimized by our computed filters:

$$J_2(\tilde{H}^1, ..., \tilde{H}^L) = \sum_{k,l=1}^{k,l=L} \left\| \tilde{H}^l * X^k - \tilde{H}^k * X^l \right\|_2^2.$$
(26)

where  $X^k$  are the observed images and  $\tilde{H}^k$  are the estimated filters. Note that we don't have access to a fully sampled version of the  $X^k$ , thus we interpret the convolutions that occur in (26) as the product of the low frequencies of the filter  $\tilde{H}$  with the Fourier transform of X, squaring the result and summing over the low-frequency domain. We define<sup>2</sup>

$$\left\|\tilde{H^{l}} * X^{k} - \tilde{H^{k}} * X^{l}\right\|_{2}^{2} = \int_{-\frac{1}{2P}}^{\frac{1}{2P}} \left|\hat{H^{l}}(u)\hat{X^{k}}(u) - \hat{H^{k}}(u)\hat{X^{l}}(u)\right|^{2} du$$
(27)

This last functional could be the perfect criterion if no subsampling were present. Indeed,  $J_2$  is null in a noise-free, well-sampled setting only if the filters are the real filters (after checking that  $J_2$  is a positive definite quadratic form). Unfortunately the subsampling that affects our images is expressed by :

$$\begin{aligned} \left| \hat{H}^{l}(u) \hat{X}^{k}(u) \right| &- \hat{H}^{k}(u) \hat{X}^{l}(u) \right|^{2} \\ &= \left| \hat{H}^{l}(u) \sum_{n=0}^{P-1} \hat{X}^{0}(u+\frac{n}{P}) \hat{H}^{k}(u+\frac{n}{P}) \right| \\ &- \hat{H}^{k}(u) \sum_{n=0}^{P-1} \hat{X}^{0}(u+\frac{n}{P}) \hat{H}^{l}(u+\frac{n}{P}) \right|^{2} \\ &= \left| \sum_{n=1}^{P-1} \hat{X}^{0}(u+\frac{n}{P}) \left( \hat{H}^{k}(u) \hat{H}^{l}(u+\frac{n}{P}) \right| \\ &- \hat{H}^{l}(u) \hat{H}^{k}(u+\frac{n}{P}) \right|^{2} \end{aligned}$$
(28)

for  $u \in [-\frac{1}{2P}, \frac{1}{2P}]$ , where the  $H^k$  are the actual filters and  $X^0$  is the original image.

 $J_2$  being not null when applied to the actual filters prevents us from concluding that its minimum is obtained for those filters. Nevertheless, images have a strong low-frequency component. This means that the minimizing filters for  $J_2$  must reduce as much as possible the terms of the form  $|\hat{H}^k(u)\hat{X}^0(u) - \hat{H}^l(u)\hat{X}^0(u)|^2$ , because these terms dominate the others (see [11] for a review of proposed statistical models of images).

As the experiments will show it, the error introduced by the aliasing is negligible and does not lead to a noticeable error in the recovery of the filters. One can also say that the high frequency components of the filters are not taken into account. Although this point is correct, the filters, thanks to the subspace method, are constrained to live in a small-dimensional affine space, thus controlling the low frequency part of them is sufficient to yield a positive definite quadratic form on the subspace the filters live in.

In the next section we see how these two ideas can be applied to the disambiguation of the mixing matrix  $\mathbb{M}_X$ .

# 5. APPLICATIONS

# 5.1 Blind Filters Identification

We want to estimate a deconvolved image, at a resolution increased by a factor P = 2, from a set of L = 6 low-resolution images of the same scene, filtered by 6 different unknown filters. This can be expressed as a 4 input 6 output system.

To evaluate the results with an objective criterion, the psnr (see eq. (29)), we have to simulate this case: we filter a known original image D with 6 known filters H and then subsample the outputs by a factor P = 2 in each directions.

The psnr is given by:

$$PSNR(D, D_{est}) = 10 \, \log_{10} \frac{(\max(D) - \min(D))^2}{MSE(D, D_{est})}$$
(29)

where MSE is the mean squared error between the images D and  $D_{est}$ .

The original image D is (576,720), and the windowed area of study (10,10). The filters are (6,6) 2D-Gaussian centered at a random point with standard deviations: 0.7, 0.9, 1, 1.1, 1.3, 1.5.

To recover the filters, we use a weighted sum of the two criteria  $\alpha J1 + (1 - \alpha)J2$ . We obtain a psnr of 22.12 dB for  $\alpha = 1$ , and a psnr of 21.46 dB for  $\alpha = 0$ . The results are better when the two criteria are mixed, in our case for  $\alpha = 0.04$ , the filters are recovered with a psnr of 26.17 dB ( $J_1$  and  $J_2$  are normalized so their minimal eigenvalue is 1).

#### 5.2 Image Restoration

Once the filters are estimated, the recovery of the original image can take place. The recovered image  $\tilde{X}$  must satisfy some straightforward conditions, namely :

• The image filtered by the estimated filters and subsampled must be close to the observed images, which yields the first datadriven functional:

$$A(\tilde{X}) = \sum_{l=1}^{L} \left\| S_P(\tilde{X} * \tilde{H}^l) - X^l \right\|_2^2,$$
(30)

 $S_P$  being the subsampling operator at rate P.

• Since the observed images are affected by noise and, most importantly, the filters we computed are estimates of the actual ones, a regularization functional must also be minimized:

$$R(\tilde{X}) = \int \left\|\nabla \tilde{X}\right\|_2^2 \tag{31}$$

These two criteria sum up to the minimization of a single functional given by:

$$J_3(\tilde{X}) = A(\tilde{X}) + \lambda R(\tilde{X}), \qquad (32)$$

 $<sup>^{2}</sup>$ We use a one dimensional notation to simplify the equations, we consider an infinite-size discrete signal subsampled at rate *P*. The hat denotes the time-discrete Fourier transform of a signal



Figure 1: The restored image with  $\lambda = 10^{-3}$  in eq. (32)



Figure 2: upper left : the 1st observed image; upper right: the restored image; down left : the bilinear interpolation; down right : the original image

the minimization of which requires the inversion of  $P^2 \times P^2$  matrix for each point of the Fourier transform of the image as a straightforward computation may show it.

We present experimental results obtained with the observed output subsampled images and the filters estimated in section 5.1.

Figure 1 shows the restored image (psnr = 26.78 dB). To better display the results, we focus on a window area of the less blurred output image, and the related window area in the superresolved image, and display them at their exact size (figure 2). For comparison purposes, a bilinear interpolation of the output image area and the related window in the original image are also given.

# 6. CONCLUSION

In this work we showed how the subspace method may be applied to image superresolution. We showed that this method is intrinsically ambiguous when presented with multiple sources which are, in fact, subsamples of the one same image. We showed how statistical properties of images can be used to disambiguate the problem and achieve a satisfactory recovery of the filters and of the original image. The advantage of using this method is that it can be applied to a wide range of filters without further assumption than their smoothness. In future work, one may want to apply other types of regularization to the image or the filters. The most promising lead is the TV regularization [3] which would be available as a usable technology very soon. The other possibility of improvement is the extension to the case where the made algebraic assumptions fail to be true, in such cases subspace method happens to be very unstable. We may apply the ideas presented here to stabilize the problem.

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